

Attorney Docket No.: <u>AVALUC-01701</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Louis Bouchard et al.

Serial No.: 09/697,113

Filed: October 25, 2000

For: INSTANT MESSAGE

NOTIFICATION APPLICATION

Assistant Commissioner for Patents Washington, D.C. 20231

Group Art Unit: 2151

Examiner:

INFORMATION DISCLOSURE

STATEMENT

162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700 RECEIVED

MAR 3 0 2004

Technology Center 2100

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

- European Publication No. EP 0 944 004 A1;
- "Accessing Messages Your Way," AT&T Technology, XP-000530274, 10 (1995) spring, No. 1, New York, US, 2 pages; and
- "AOL & Microsoft Fight Over Instant Messaging Continues," XP-002188386, 7/26/99, 1 page.

PATENT Attorney Docket No.: <u>AVALUC-01701</u>

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 5-21-02

Thomas B. Haverstoc

Reg. No.: 32,571

Attorneys for Applicants

LERTIFICATE OF MAILING (37 CFR § 1.8(a))

HAVERSTOCK & OWENS LLP.

Date: 5-22-02 By Tue S. Russon

hereby certify that this paper (along with any elerred to as being attached or enclosed) is being leposited with the U.S. Postal Service on the date shown below with sufficient postage as first class nail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231



Attorney Docket No.: <u>AVALUC-01701</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Louis Bouchard et al.
Serial No.: 09/697,113

In re Application of:

Filed: October 25, 2000

For: INSTANT MESSAGE

NOTIFICATION APPLICATION

Assistant Commissioner for Patents Washington, D.C. 20231

Group Art Unit: 2151

Examiner:

CERTIFICATION FOR

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(e)

162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700

RECEIVED

MAR 3 0 2004

Technology Center 2100

Sir:

This certification is being made for the Information Disclosure Statement accompanying this certification.

Certification

I hereby certify that the attached three (3) references contained in this information disclosure statement were cited within an International Search Report (ISR) mailed on February 22, 2002. Accordingly, the references contained in the information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).

Attorney Docket No.: <u>AVALUC-01701</u>

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 5-21-02

Thomas B. Haverstock

Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

HAVERSTOCK & OWENS LLP.

Date: 5-22-02 By: African D. Resign



PATENT Attorney Docket No.: <u>AVALUC-01701</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Louis Bouchard et al.

Serial No.: 09/697,113

Filed: October 25, 2000

For: II

INSTANT MESSAGE

NOTIFICATION APPLICATION

Group Art Unit: 2143

Examiner: Dennison, Jerry B.

REQUEST FOR CONSIDERATION OF

INFORMATION DISCLOSURE

STATEMENT MAILED May 22, 2002

162 N. Wolfe Road Sunnyvale, CA 94086

(408) 530-9700

Customer Number 28960

RECEIVED

MAR 3 0 2004

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Technology Center 2100

Sir:

The Examiner has acknowledged all the information disclosure statements filed in this matter by initializing and returning the PTO form 1449 to the Application except for the information disclosure statement mailed on May 22, 2002. The Applicants have enclosed a copy of the May 22, 2002 information disclosure statement with the stamped return postcard for the Examiner's convenience. The Applicants would greatly appreciate acknowledgment of this information disclosure statement by return initialed PTO form 1449.

Respectfully submitted, HAVERSTOCK & OWENS LLP

Dated: 3-26-04

Thomas B. Haverstock

Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 3-06-01 By: M. Kansse



PATENT Attorney Docket No.: AVALUC-01701

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Group Art Unit: 2151

RECEIVED

Louis Bouchard et al.

Examiner:

MAR 3 0 2004

Serial No.: 09/697,113

Technology Center 2100

TRANSMITTAL LETTER

Filed: October 25, 2000

162 N. Wolfe Road

For: INSTANT MESSAGE NOTIFICATION APPLICATION

Sunnyvale, CA 94086

(408) 530-9700

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Enclosed please find an Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 5-21-02

Thomas B. Haverstock

Reg. No.: 32,571

Attorneys for Applicants

JERTIFICATE OF MAILING (37 CFR § 1.8(a))

hereby certify that this paper (along with any elerred to as being attached or enclosed) is being leposited with the U.S. Postal Service on the date shown below with sufficient postage as first class nail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

HAVERSTOCK & OWENS LLP.

Date: 5-22-01 By/1

FORM PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office

Attorney Docket No.: AVALUC-01701

Serial No.: 09/697,113

INFORMATION DISCLOSURES ATEMENT BY APPLICANT (Use Several Sheets If Necessary)

Applicant: Louis Bouchard et al.

Group Art Ilnit: 2151

37 CFR § 1.9	8(Ь))	4.35.4.3	Filing Date: October 25, 2000			25, 2000	Group Art Unit: 2151			
				U.S. PATENT DOC	UMENTS					
Examiner Initials		Serial / Patent Number	Issue Date	Applic	ant / Patentee	Class	Subclass	Filing	Date	
	AA									
	AB									
	AC					DECE	IN/ED			
	AD					RECE	IVLU			
	ΑĒ					MAR 3	0_2004			
	AF						0			
. 4	AG					lechnology	Center 2100			
	AH			·						
	Al									
	AJ									
		F	OREIGN PATENTS	OR PUBLISHED FO	REIGN PATENT APP	LICATIONS	,			
		Document			15 · · · Off	Close	Subclass	Transl	lation	
Λ		Document Number	Publication Date	Country	/ Patent Office	Class	Subclass	Yes	No	
1196	AK	EP 0 944 004 A1	09/22/99		EP	G06F	17/00		X	
	AL									
١,	AM									
	AN									
	AO									
1		OTHER	DOCUMENTS (Incl.	uding Author, Title, I	Date, Relevant Pages, P	lace of Publication)				
120	AP "Accessing Messages Your Way," AT&T Technology, XP-000530274, 10 (1995) spring, No. 1, New York, US, 2 pa									
1915	AQ	1			XP-002188386, 7/26/9					
1110	AR			-						
	AS									
	AT									
	AU									
	AV									
	AW							•		
	AX									
	AY									
	AZ									
Examiner:	1	<u> </u>	-		Date Considered:					
············	: In		d. Draw line through			danada la alunda agazza	of this form			